This brief is being provided to inform the Board, staff and public of the details of an agenda item that requires action from the Board. The President of the Board will provide board members, staff, and the public the opportunity to ask questions about this topic when this agenda item is announced.

**Date:**July 13, 2021

**Originator:** Cary Curtis and Kim Seney

**Purpose**: Propose Update to Existing Ethics Policy #4010 and Renumber to #1030

**Supporting Documents Included: Yes / No** Yes – Proposed Revised and Renumbered Policy

**Desired Action by the Board:**

First reading by the board of revised (former Ethics Policy #4010) and renumbered Policy #1030 Code of Ethics Policy. The board may choose to waive the second reading and adopt recommendation as presented. Current policy requires a 3/5th majority affirmative vote of the entire membership of the board to adopt this policy.

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1. **Description:** This revised Ethics policy is intended to affirm that the District is committed to operating in a manner consistent with high Ethical standards. This policy is meant to standardize and articulate the shared values and behaviors of our Ethic standards. It applies to and is expected of all Gold Mountain CSD Staff and Board Members.
2. **Reason for Recommended Board Action -** (*Consider compliance, cost savings, fixing a problem*): The current Ethics Policy was reviewed and expanded to include expectations for Staff and Board Member behaviors, consistent with CSDA template. Additionally, an annual review and acknowledgement by Staff and Board Members has been added to the Policy. It is also recommended that the number change from #4010 to #1030 to fit with our updated numbering schema.
3. **Anticipated Impacts to the District (negative and/or positive) -** (*Consider financial impact, change in procedures, customer and staff communication and effect if recommendations are not adopted*):

New procedures for ensuring annual review and written acknowledgement by Staff and Board, as well as record retention of acknowledgements will need to be established and implemented.

1. **Anticipated Impacts to the Customer –** *Standby, Residential, Commercial***:** No new impact.
2. **Recommendation (s):** Review, Discuss, Waive 2nd reading and adopt the new GM CSD Policy #1030 Ethics Policy as presented.

Gold Mountain Community Services District

POLICY HANDBOOK

**D-R-A-F-T**

**POLICY TITLE: Code of Ethics**

**POLICY NUMBER: 1030**

1030.1 Background information:

Gold Mountain CSD’s Code of Ethics & Values (the “Code”) provides clear, positive statements of ethical behavior reflecting the core values of the District and the communities it serves. The Code includes practical strategies for addressing ethical questions and a useful framework for decision-making and handling the day-to-day operations of the District. The Code reflects the issues and concerns of today's complex and diverse society.

This Policy will be reviewed by all Staff and Board Members annually, and during “onboarding” of new staff and board members. Signed acknowledgement forms will be maintained in the District office.

1030.2 Goals of the code of ethics & values:

1. To make Gold Mountain CSD (GMCSD) a better District built on mutual respect and trust.
2. To promote and maintain the highest standards of personal and professional conduct among all involved in District government, District staff, volunteers, and members of the District's Board. All elected and appointed officials, officers, employees, members of advisory committees, and volunteers of the District, herein called “Officials” for the purposes of this policy.
3. The Code is a touchstone for members of District Board and staff in fulfilling their roles and responsibilities.

1030.3 Preamble:

1. The proper operation of democratic government requires that decision-makers be independent, impartial, and accountable to the people they serve. The GMCSD has adopted this Code to promote and maintain the highest standards of personal and professional conduct in the District's government.
2. All Officials, and others, who participate in District governance are required to subscribe to this Code, understand how it applies to their specific responsibilities, and practice its eight core values in their work. Because we seek public confidence in the District's services and public trust of its decision-makers, our decisions and our work must meet the most demanding ethical standards and demonstrate the highest levels of achievement in following this Code.

1030.4 Applicability:

This Code shall apply to all District Officials as defined in 1030.2 b)

1030.5. Core Values:

As participatory Officials in the District's government, we subscribe to the following Core Values:

1030.5.1 As a representative of GMCSD, I will be ethical. In practice, this value looks like:

1. I am trustworthy, acting with the utmost integrity and moral courage. I am truthful. I do what I say I will do. I am dependable.
2. I make impartial decisions, free of bribes, unlawful gifts, narrow political interests, financial, and other personal interests that impair my independence of judgment or action.
3. I am fair, distributing benefits and burdens according to consistent and equitable criteria.
4. I extend equal opportunities and due process to all parties in matters under consideration. If I engage in unilateral meetings and discussions, I do so without making voting decisions or any improper or unauthorized representations on behalf of the District.
5. I show respect for persons, confidences, and information designated as "confidential."
6. I use my title(s) only when conducting official District business for information purposes or as an indication of background and expertise carefully considering whether I am exceeding or appearing to exceed my authority.
7. I will avoid actions that might cause the public or others to question my independent judgment, focusing on issues and not personalities.
8. I maintain a constructive, creative, and practical attitude toward the District’s affairs and a deep sense of social responsibility as a trusted public servant.

1030.5.2 As a representative of GMCSD, I will be professional. In practice, this value looks like:

1. I apply my knowledge and expertise to my assigned activities and to the interpersonal relationships that are part of my job in a consistent, confident, competent, and productive manner.
2. I approach my job and work-related relationships with a positive, collaborative attitude.
3. I keep my professional education, knowledge, and skills current and growing.

1030.5.3 As a Representative of GMCSD, I will be service-oriented. In practice, this value looks like:

1. I provide friendly, receptive, courteous service to everyone.
2. I attune to and care about the needs and issues of citizens, public Officials and District workers.
3. In my interactions with constituents, I am interested, engaged and responsive.

1030.5.4 As a representative of GMCSD I will be fiscally responsible. In practice, this value looks like:

1. I make decisions after prudent consideration of their financial impact, taking into account the long-term financial needs of the District, especially its financial stability.
2. I demonstrate concern for the proper use of District assets (e.g., personnel, time, property, equipment, funds) and follow established procedures.
3. I make good financial decisions that seek to preserve programs and services for District residents.
4. I have knowledge of and adhere to the District’s Purchasing and Contracting and Allocation of Funds Policies.

1030.5.5 As a representative of GMCSD, I will be organized. In practice, this value looks like:

1. I act in an efficient manner, making decisions and recommendations based upon research and facts, taking into consideration both short- and long-term goals.
2. I follow through in a responsible way, keeping others informed and responding in a timely fashion.
3. I am respectful of established District processes and guidelines.

1030.5.6 As a representative of GMCSD, I will be communicative. In practice, this value looks like:

1. I positively convey the District's care for and commitment to its citizens.
2. I communicate in various ways, that I am approachable, open-minded, and willing to participate in dialog.
3. I engage in effective two-way communication, by listening carefully, asking questions, and determining an appropriate response which adds value to conversations.
4. I avoid double talk, hidden agendas, gossip, backbiting, and other negative forms of interaction.

1030.5.7 As a representative of GMCSD, I will be collaborative. In practice, this value looks like:

1. I act in a positive and cooperative manner with groups and other individuals, working together in a spirit of tolerance and understanding.
2. I work towards consensus building and gain value from diverse opinions.
3. I accomplish the goals and responsibilities of my individual position, while respecting my role as a member of a team.
4. I consider the broader regional and state-wide implications of the District's decisions and issues.

1030.5.8 As a representative of GMCSD, I will be progressive. In practice, this value looks like:

1. I exhibit a proactive, innovative approach to setting goals and conducting the District's business.
2. I display a style that maintains consistent standards; but is also sensitive to the need for compromise, "thinking outside the box" and improving existing paradigms when necessary.
3. I promote intelligent and thoughtful innovation in order to forward the District's policy agenda and District services.

1030.6 Understanding Roles.

1030.6.1 The work of the District is a team effort. All individuals should work together in the collaborative process, assisting each other in conducting the affairs of the District.

1030.6.2 Once the Board of Directors takes action, Directors should commit to supporting said action and not to create barriers to the implementation of said action.

1030.6.3 The primary responsibility of the Board of Directors is the formulation and evaluation of policy. Routine matters concerning the operational aspects of the District are to be delegated to the District General Manager.

1030.6.4 Directors should develop a working relationship with the General Manager wherein current issues, concerns and District projects can be discussed comfortably and openly.

1030.6.5 In seeking clarification for policy-related concerns, especially those involving personnel, legal action, land acquisition and development, and finances, said con­cerns should be referred directly to the General Manager.

1030.6.6 When approached by District personnel concerning specific District policy, Directors should direct inquiries to the General Manager.

1030.6.7 Directors may approach professional staff members to obtain information needed to supplement, upgrade, or enhance their knowledge to improve legislative decision-making.

1030.6.8 In handling complaints from residents and property owners of the District, said complaints should be referred directly to the General Manager.

1030.6.9 In handling items related to safety or hazards, said complaints should be reported to the General Manager. Emergency situations should be dealt with immediately by seeking appropriate assistance.

1030.7 Enforcement:

Any Official found to be in violation of this Code may be subject to Censure by the District Board. Any member of any advisory Committee found in violation may be subject to dismissal from the Committee. In the case of an employee, appropriate action shall be taken by the General Manager or by an authorized designee.